

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
DC4979

In Re Application: Michael Salvatore Ferritto; Zuchen Lin; Janet Mary Smith

Serial No.  
to be assigned

Filing Date  
to be assigned

Examiner  
to be assigned

Group Art Unit  
to be assigned

THE ENCAPSULATION OF ACTIVES IN CORE-SHELL AND GEL PARTICLES

10/017976  
U.S. PRO  
12/13/01

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: Dec - 12, 2001

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Docket No.  
DC4979

In Re Application Of: Michael Salvatore Ferritto; Zuchen Lin; Janet Mary Smith

U.S. PTO  
12713701  
017976

|   |                               |                            |                             |
|---|-------------------------------|----------------------------|-----------------------------|
| Serial No.<br>to be assigned  | Filing Date<br>to be assigned | Examiner<br>to be assigned | Group Art<br>to be assigned |
| Title: THE ENCAPSULATION OF ACTIVES IN CORE-SHELL AND GEL PARTICLES |                               |                            |                             |

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

#2  
03/05/03  
AS

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);

OR

- the fee set forth in 37 CFR 1.17(p).